

Import LC Update Drawing Islamic User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Import LC Update Drawing Islamic User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM facilitates its customers to initiate new Trade transactions/handle response to existing transaction through Trade portals, our by visiting the branch or through SWIFT.

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Import LC Update Drawing Islamic

Details of Drawing under an Import LC can be updated before liquidation, this can be on account of applicant response on discrepancy or change of maturity date etc. The update can be requested through Online/Offline channels.

The various stages involved for Islamic Import LC Drawing update are:

- Receive and verify documents (Non Online Channel)- Registration stage
- Input application details
- Upload of related mandatory and non-mandatory documents received from the presenting bank
- Verify documents and capture details (Online/Non Online Channels)- Scrutiny stage
- Input/Modify details of update Drawing - Data Enrichment stage
- Check for limit availability if applicable
- Check balance availability for amount block if applicable
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges if applicable
- Capture remarks for other users to check and act
- Hand off request to back office

The Islamic Import LC Drawing Update process flow is similar to that of conventional Import LC Drawing Update process flow.

This section contains the following topics:

| | |
|---|--------------------------------------|
| Common Initiation Stage | Registration |
| Scrutiny | Data Enrichment |
| Exceptions | Multi Level Approval |
| Reject Approval | |

Common Initiation Stage

The user can initiate the new import LC update drawing request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.

2. Click Trade Finance > Initiate Task.

The screenshot shows the Oracle 'Initiate Task' registration form. The sidebar menu on the left has 'Initiate Task' highlighted with a red box. The main form area contains three input fields: 'Process Name' with the value 'Import LC Update Drawings Islamic', 'Drawing Reference Number' with the value 'PK2ISLP19081A5EI', and 'Branch' with the value 'PK2-FLEXCUBE UNIVERSAL BANK'. At the bottom right of the form, there are two buttons: 'Proceed' and 'Clear'.

Provide the details based on the description in the following table:

| Field | Description |
|--------------------------|---|
| Process Name | Select the process name to initiate the task. |
| Drawing Reference Number | Select the drawing reference number. |
| Branch | Select the branch. |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description |
|---------|--|
| Proceed | Task will get initiated to next logical stage. |
| Clear | The user can clear the contents update and can input values again. |

Registration

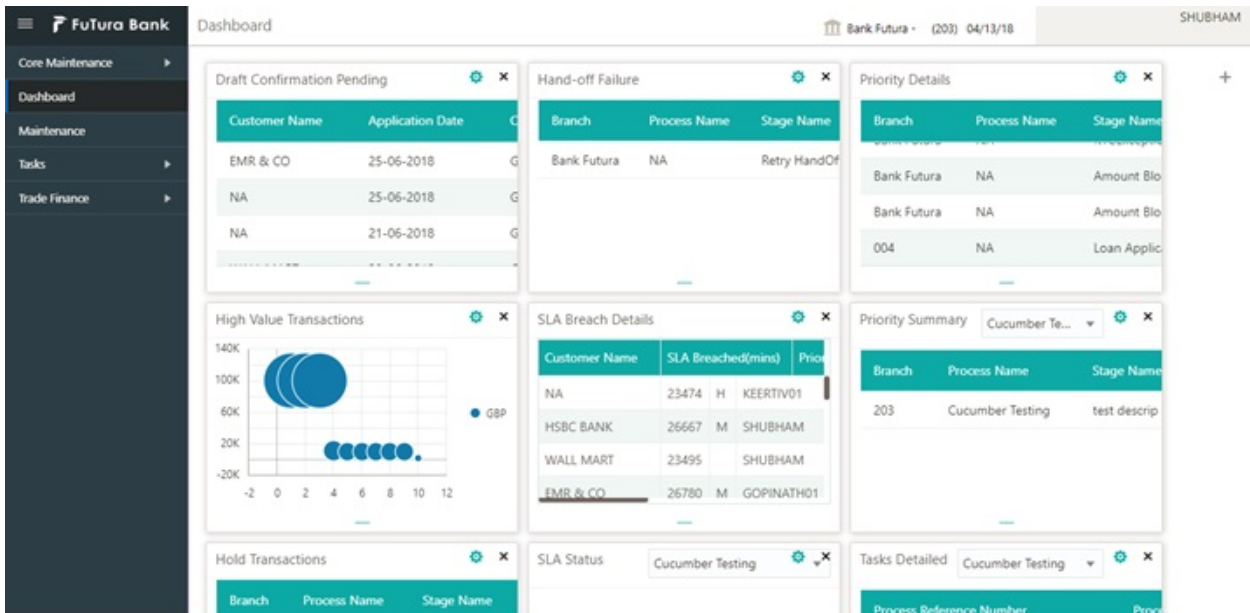
During Registration stage, user registers request for an Islamic Import LC Update Drawing at the front desk (as an application received physically/Courier). User can also capture the basic details, check the signature of the applicant and upload the documents received from presenting bank. System also enables the user to capture some additional product related details as an option. On submit of the request the request will be available for an LC expert to handle the request in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



The image shows a login form for FuTura Bank. It features a dark blue header with the bank's logo and name. Below the header, the text "Sign In" is displayed. There are two input fields: "User Name *" containing the text "SRIDHAR" and "Password *" containing a series of dots. At the bottom, there are two buttons: a green "Sign In" button and a white "Cancel" button.

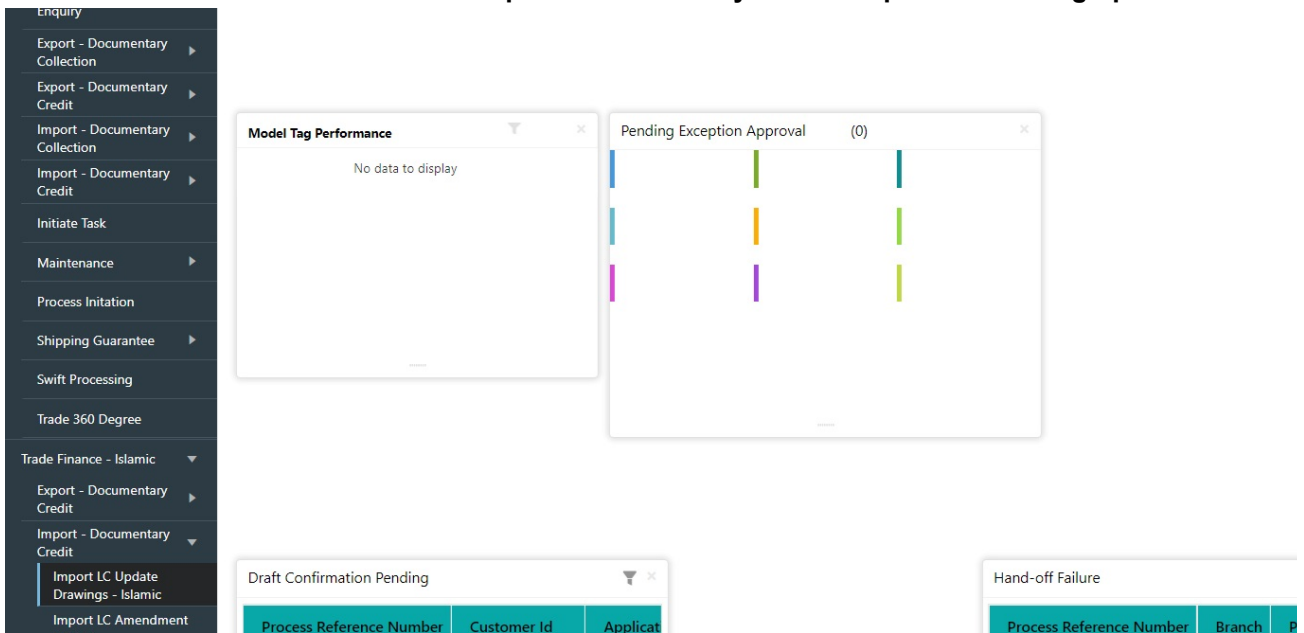
2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



The image displays a dashboard for FuTura Bank. The dashboard is titled "Dashboard" and includes a sidebar with navigation options: Core Maintenance, Dashboard, Maintenance, Tasks, and Trade Finance. The main content area is divided into several widgets:

- Draft Confirmation Pending:** A table with columns "Customer Name" and "Application Date".
- Hand-off Failure:** A table with columns "Branch", "Process Name", and "Stage Name".
- Priority Details:** A table with columns "Branch", "Process Name", and "Stage Name".
- High Value Transactions:** A chart showing transaction values for GBP.
- SLA Breach Details:** A table with columns "Customer Name", "SLA Breached(mins)", and "Priority".
- Priority Summary:** A table with columns "Branch", "Process Name", and "Stage Name".
- Hold Transactions:** A table with columns "Branch", "Process Name", and "Stage Name".
- SLA Status:** A widget showing "Cucumber Testing".
- Tasks Detailed:** A widget showing "Cucumber Testing".

3. Click Trade Finance- Islamic > Import - Documentary Credit> Import LC Drawing Update- Islamic.



The Registration stage has two sections Basic Details and Drawing Details. Let's look at the details of Registration screens below:

Application Details

Import LC Update Drawings - Islamic

Signatures
Documents
Remarks
Customer Instruction
Common Group Messages

Application Details

Drawing Reference Number *
PK2IBCL211253502

Received From - Customer Name
GOODCARE PLC

Submission Mode
Desk

Presenting Bank Reference Number
ILCDRWISL-11

Version No
1

Branch
PK2-Oracle Banking Trade Finan...

Drawing Date *
May 5, 2021

Document Received Date
May 5, 2021

20 - Documentary Credit Number
PK2IRLI211252503

Process Reference Number
PK2IILLU000071081

Drawing Update Date *
May 5, 2021

Received From - Customer ID
001044

Priority
Medium

Presenting Bank
003763 CITIBANKIRE

Drawing LC Details

Tenor Type

Product Code
IBCL

Outstanding LC Value
GBP £20,000.00

Discount Amount
GBP

Documents Received
Yes

Product Description
INCOMING SIGHT BILLS UNDER LC PAY

Drawing Amount
GBP £20,000.00

Unlinked FX Rate

Document Set

Operation Type
PAY

Amount In Local Currency
GBP £20,000.00

Shipping Guarantee Reference

Duplicate

Stage
Initial


Additional Amount
GBP £0.00


Rebate Amount
GBP

Back to Back LC

Hold
Cancel
Save & Close
Submit

Provide the Basic Details based on the description in the following table:

| Field | Description | Sample Values |
|-------------------------------|--|-----------------------------|
| Drawing Reference Number | <p>Provide the drawing reference number. Alternatively user can search the drawing number using LOV.</p>  <p>Note In case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”.</p> | |
| Version Number | <p>Read only field. Version number is the versions of the drawing update.</p> | |
| Documentary Credit Number | <p>Read only field. Documentary credit number will be auto-populated based on selected LC from the LOV.</p> | |
| Received From - Customer ID | <p>Read only field. Customer ID will be auto-populated based on the selected LC from the LOV.</p> | 001344 |
| Received From - Customer Name | <p>Read only field. Customer Name will be auto-populated based on the selected LC from the LOV.</p> | EMR & CO |
| Branch | <p>Read only field. Branch details will be auto-populated based on the selected LC from the LOV.</p> | 203-Bank Futura -Branch FZ1 |
| Process Reference Number | <p>Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.</p> | |
| Priority | <p>System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.</p> | High |
| Submission Mode | <p>Select the submission mode of Import LC Drawing request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Courier- Request received through Courier</p> | Desk |
| Drawing Date | <p>Read only field. Drawing date will be auto-populated based on the selected drawing.</p> | 04/13/2018 |

| Field | Description | Sample Values |
|----------------------------------|--|---------------|
| Drawing Update Date | By default, the application will display branch's current date and does not enables the user to change the date to any back date. | |
| Presenting Bank | Read only field. Presenting bank details will be auto-populated based on the selected LC from the LOV. | |
| Presenting Bank Reference Number | Read only field. Presenting bank reference number will be auto-populated based on the selected LC from the LOV. | |
| Document Received Date | By default, the application will display branch's current date and enables the user to change the date to any back date. <div style="text-align: center;">  NOTE Future date selection is not allowed. </div> | |

Drawing LC Details

Drawing Details will be populated from the drawings. Registration user can update the fields if required.


Drawing LC Details

| | | | |
|---|---|---|---|
| Tenor Type <input type="text"/> | Documents Received <input type="text" value="Yes"/> | Document Set <input type="text"/> | Duplicate <input type="checkbox"/> |
| Product Code <input type="text" value="IBCL"/> | Product Description <input type="text" value="INCOMING SIGHT BILLS UNDER LC PAY"/> | Operation Type <input type="text" value="PAY"/> | Stage <input type="text" value="Initial"/> |
| Outstanding LC Value GBP <input type="text" value="£20,000.00"/> | Drawing Amount GBP <input type="text" value="£20,000.00"/> | Amount In Local Currency GBP <input type="text" value="£20,000.00"/> | Additional Amount GBP <input type="text" value="£0.00"/> |
| Discount Amount GBP <input type="text"/> | Unlinked FX Rate <input type="text"/> | Shipping Guarantee Reference <input type="text"/> | Rebate Amount GBP <input type="text"/> |

Back to Back LC

Provide the drawing Details based on the description in the following table:

| Field | Description | Sample Values |
|------------|--|---------------|
| Tenor Type | Select the Tenor Type from the LOV: <ul style="list-style-type: none"> • Sight • Usance • Mixed | |

| Field | Description | Sample Values |
|---------------------|--|---------------|
| Documents Received | <p>Set the document received status as 'Yes' or 'No' from the LOV.</p>  <p>Note: In case a drawing was booked without documents (For example against MT750) and the documents are subsequently received from the Presenting Bank, user has to initiate the update drawing Process. User will mark the Documents Received as Yes, however the user will not select the duplicate document toggle. Instead the user will go to "Document Details "hop and capture the document details.</p> | |
| Document Set | <p>Select the set of import document received:</p> <ul style="list-style-type: none"> • First • Second • Both <p>The user has to select the option as 'Second' and has to set the "Duplicate" toggle to Yes, if a Duplicate (second set) of Import document is received.</p> | |
| Duplicate | <p>If documents received are duplicate and if the drawing is already completed, user can mark this as duplicate and submit.</p> <p>This toggle when enabled, does not allow the user to update any other field within the task except for capturing the remarks.</p> | |
| Product Code | <p>Read only field.</p> <p>Product code will be auto-populated based on the selected drawing.</p> | |
| Product Description | <p>Read only field.</p> <p>This field displays the description of the product as per the product code.</p> | |
| Operation Type | <p>Select the operation type from the LOV:</p> <ul style="list-style-type: none"> • Payment • Collection • Acceptance • Discount • NEG | |
| Stage | <p>Select the stage from the LOV:</p> <ul style="list-style-type: none"> • Initial • Final | |

| Field | Description | Sample Values |
|------------------------------|--|---------------|
| Outstanding LC Value | Read only field. Outstanding LC value will be auto-populated. This field displays the value as per the latest LC. | |
| Drawing Amount | Provide the drawing amount under the LC for which documents have been submitted. | |
| Amount In Local Currency | System fetches the local currency equivalent value for the LC amount from back office (with decimal places). | |
| Additional Amount | This field enables user to provide any additional amount to be included under the LC drawing. | |
| Discount Amount | Read only field. Outstanding LC value will be auto-populated. This field displays the value as per the latest LC. | |
| Unlinked FX Rate | Provide the unlinked FX rate. | |
| Shipping Guarantee Reference | User can link an existing Shipping Guarantee using this field. | |
| Rebate Amount | Read only field. Rebate to the bill outstanding amount. | |
| Back to Back LC | Flag to check if the Import LC is a back to back LC. Toggle On: Set the Toggle On if back to back LC is applicable. Toggle Off: Set the Toggle Off if back to back LC is applicable. | |

Miscellaneous

Import LC Update Drawings - Islamic

Signatures Documents Remarks Customer Instruction Common Group Messages

Application Details

Drawing Reference Number * PK2IBCL211253502

Received From - Customer Name GOODCARE PLC

Submission Mode Desk

Presenting Bank Reference Number ILCDRWISL-11

Version No 1

Branch PK2-Oracle Banking Trade Finan...

Drawing Date * May 5, 2021

Document Received Date May 5, 2021

20 - Documentary Credit Number PK2IRLU211252503

Process Reference Number PK2ILLU000071081

Drawing Update Date * May 5, 2021

Received From - Customer ID 001044

Priority Medium

Presenting Bank 003763 CITIBANK IRE

View LC Events

Drawing LC Details

Tenor Type

Product Code IBCL

Outstanding LC Value GBP £20,000.00

Discount Amount GBP

Documents Received Yes

Product Description INCOMING SIGHT BILLS UNDER LC PAY

Drawing Amount GBP £20,000.00

Unlinked FX Rate

Document Set

Operation Type PAY

Amount In Local Currency GBP £20,000.00

Shipping Guarantee Reference

Duplicate

Stage Initial

Additional Amount GBP £0.00

Rebate Amount GBP

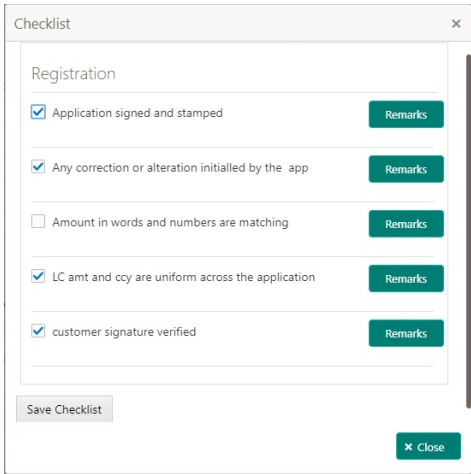
Back to Back LC

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Documents | Upload the documents received under the LC. | |
| Remarks | Provide any additional information regarding the drawing. This information can be viewed by other users processing the request. | |
| Customer Instructions | Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| Common Group Message | Click Common Group Message button, to send MT799 and MT999 messages from within the task. | |
| View LC | Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields. | |
| LC Events | On click, system will display the details of LC issuance, amendments (if any), drawings (if any) and liquidations if any under the LC in chronological sequence from Issuance. | |

Action Buttons

| Field | Description | Sample Values |
|--------------|---|---------------|
| Submit | On submit, task will get moved to next logical stage of Import LC Update Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. | |
| Save & Close | Save the information provided and holds the task in you queue for working later. This option will not submit the request. | |
| Cancel | Cancels the Import LC Update Drawing Registration stage inputs. | |
| Hold | The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant. | |
| Checklist | Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.  | |

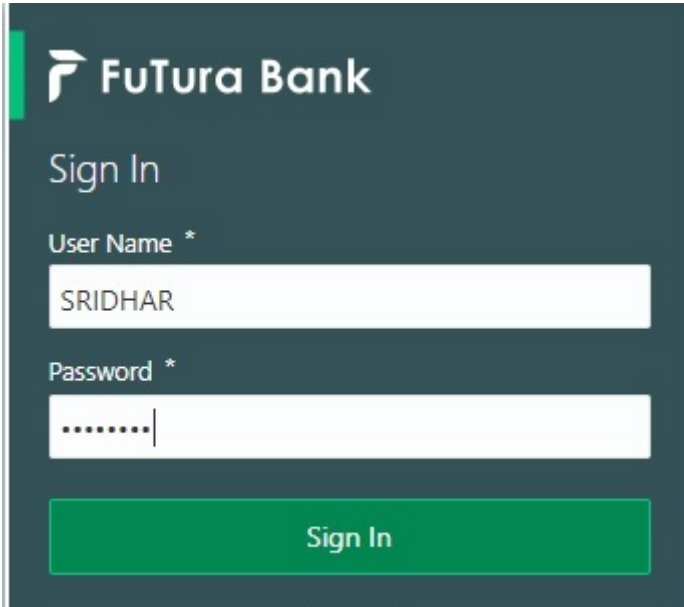
Scrutiny

A Scrutiny user can scrutinize the request for Islamic Import LC Drawing update.

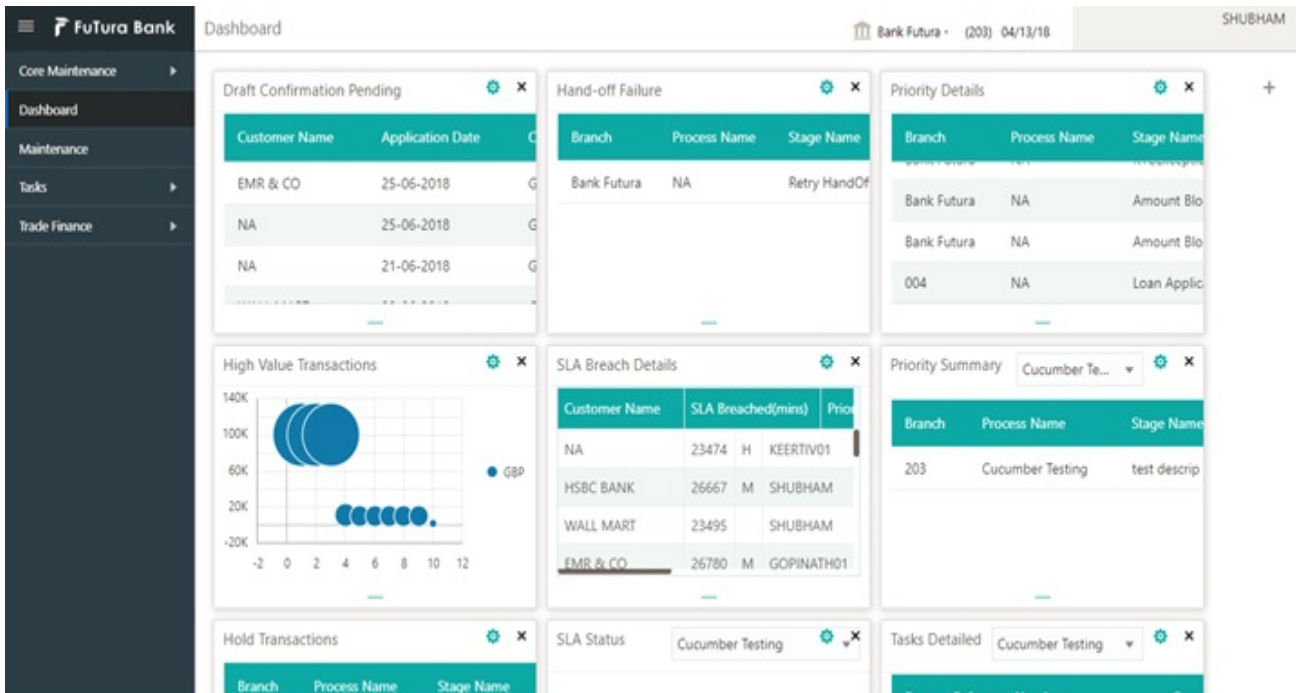
As part of scrutiny, the user can enter/update basic details of the Islamic LC Drawing update request and can verify if the request can be progressed further. The task initiated from the online channel (OBDEX/ SWIFT MT798) should be created in the Scrutiny stage directly as in conventional process flow.

Do the following steps to acquire a task at Scrutiny stage:

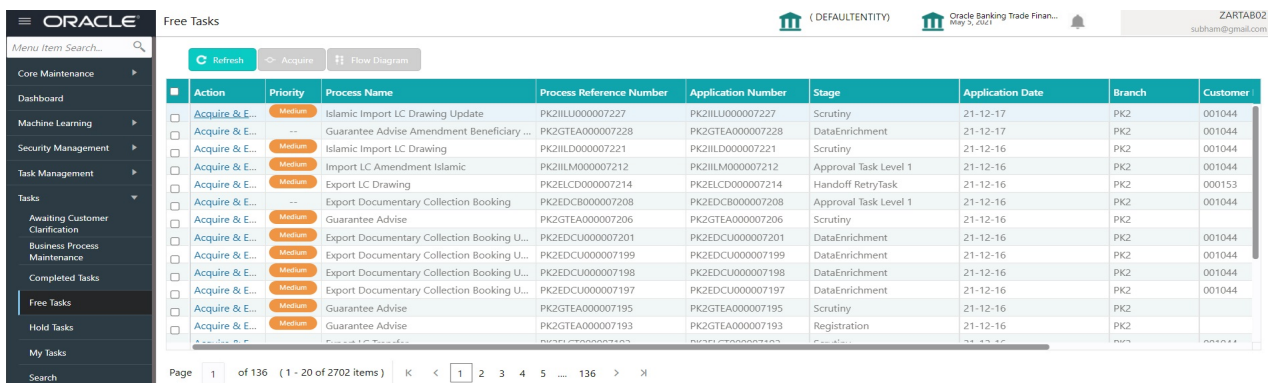
1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Tasks> Free Tasks.



4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer |
|----------------|----------|--|--------------------------|--------------------|-----------------------|------------------|--------|----------|
| Acquire & Edit | Medium | Islamic Import LC Drawing Update | PK2IILU000007227 | PK2IILU000007227 | Scrutiny | 21-12-17 | PK2 | 001044 |
| Acquire & Edit | Medium | Guarantee Advise Amendment Beneficiary ... | PK2GTEA000007228 | PK2GTEA000007228 | DataEnrichment | 21-12-17 | PK2 | 001044 |
| Acquire & Edit | Medium | Islamic Import LC Drawing | PK2IILD000007221 | PK2IILD000007221 | Scrutiny | 21-12-16 | PK2 | 001044 |
| Acquire & Edit | Medium | Import LC Amendment Islamic | PK2IILM000007212 | PK2IILM000007212 | Approval Task Level 1 | 21-12-16 | PK2 | 001044 |
| Acquire & Edit | Medium | Export LC Drawing | PK2ELCD000007214 | PK2ELCD000007214 | Handoff RetryTask | 21-12-16 | PK2 | 000153 |
| Acquire & Edit | Medium | Export Documentary Collection Booking | PK2EDCB000007208 | PK2EDCB000007208 | Approval Task Level 1 | 21-12-16 | PK2 | 001044 |
| Acquire & Edit | Medium | Guarantee Advise | PK2GTEA000007206 | PK2GTEA000007206 | Scrutiny | 21-12-16 | PK2 | 001044 |
| Acquire & Edit | Medium | Export Documentary Collection Booking U... | PK2EDCU000007201 | PK2EDCU000007201 | DataEnrichment | 21-12-16 | PK2 | 001044 |
| Acquire & Edit | Medium | Export Documentary Collection Booking U... | PK2EDCU000007199 | PK2EDCU000007199 | DataEnrichment | 21-12-16 | PK2 | 001044 |
| Acquire & Edit | Medium | Export Documentary Collection Booking U... | PK2EDCU000007198 | PK2EDCU000007198 | DataEnrichment | 21-12-16 | PK2 | 001044 |
| Acquire & Edit | Medium | Export Documentary Collection Booking U... | PK2EDCU000007197 | PK2EDCU000007197 | DataEnrichment | 21-12-16 | PK2 | 001044 |
| Acquire & Edit | Medium | Guarantee Advise | PK2GTEA000007195 | PK2GTEA000007195 | Scrutiny | 21-12-16 | PK2 | 001044 |
| Acquire & Edit | Medium | Guarantee Advise | PK2GTEA000007193 | PK2GTEA000007193 | Registration | 21-12-16 | PK2 | 001044 |

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Number |
|--------|----------|------------------------------------|--------------------------|--------------------|--------------------------|------------------|--------|-----------------|
| Edit | Medium | Islamic Import LC Drawing Update | PK2IILU000007227 | PK2IILU000007227 | Scrutiny | 21-12-17 | PK2 | 001044 |
| Edit | Medium | Import LC Issuance Islamic | PK1IILU000007200 | PK1IILU000007200 | KYC Exceptional approval | 21-12-16 | PK2 | 000325 |
| Edit | Medium | Shipping Guarantee Issuance | PK2SGTI000007183 | PK2SGTI000007183 | DataEnrichment | 21-12-16 | PK2 | 001044 |
| Edit | Medium | Lodge Claim - Guarantee Issued | PK2GTEC000007179 | PK2GTEC000007179 | Scrutiny | 21-12-16 | PK2 | 001044 |
| Edit | Medium | Guarantee advise claim lodging | PK2GADC000007176 | PK2GADC000007176 | DataEnrichment | 21-12-16 | PK2 | 001044 |
| Edit | Medium | Guarantee Issuance Closure | PK2GTEC000007172 | PK2GTEC000007172 | DataEnrichment | 21-12-16 | PK2 | 001044 |
| Edit | Medium | Guarantee SBLC Issuance -Claim ... | PK2GISCO000007171 | PK2GISCO000007171 | DataEnrichment | 21-12-16 | PK2 | 001044 |
| Edit | Medium | Guarantee Issuance Internal Ame... | PK2GTEI000007167 | PK2GTEI000007167 | DataEnrichment | 21-12-16 | PK2 | 001044 |
| Edit | Medium | Guarantee Issuance Internal Ame... | PK2GTEI000007163 | PK2GTEI000007163 | Registration | 21-12-15 | PK2 | 001044 |
| Edit | Medium | Guarantee Issuance Amendment B... | PK2GTEI000007162 | PK2GTEI000007162 | DataEnrichment | 21-12-15 | PK2 | 001044 |
| Edit | Medium | Guarantee Cancellation | PK2GTEC000007160 | PK2GTEC000007160 | DataEnrichment | 21-12-15 | PK2 | 000325 |
| Edit | Medium | Guarantee Advise Cancellation | PK2GTAC000007159 | PK2GTAC000007159 | DataEnrichment | 21-12-15 | PK2 | 001044 |
| Edit | Medium | Guarantee Advise Amendment | PK2GTAA000007158 | PK2GTAA000007158 | DataEnrichment | 21-12-15 | PK2 | 001044 |

The Scrutiny stage has hops which are as follows:

- Main Details
- Document Details
- Shipment Details
- Additional Conditions
- Discrepancy Details
- Maturity Details
- Additional Details
- Summary

Let's look at the details for Scrutiny stage. User must be able to enter/update the following fields. Fields with pre-defaulted values are non editable.

Main Details

Main details section has two sub section as follows:

- Application Details
- Drawing LC Details

Application Details

All fields displayed under Basic details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Islamic Import LC Drawing Update
Scrutiny :: Application No:- PK2ILU000071081

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message Signatures

Main Details

Application Details

Drawing Reference Number *
PK2IBCL211253502

Version No
1

20 - Documentary Credit Number
PK2IRU211252503

Received From - Customer ID
001044

Received From - Customer Name
GOODCARE PLC

Branch
PK2-Oracle Banking Trade Finan...

Process Reference Number
PK2ILU000071081

Priority
Medium

Submission Mode
Desk

Drawing Date *
May 5, 2021

Drawing Update Date *
May 5, 2021

Presenting Bank
003763 CITIBANK IREL

Presenting Bank Reference Number
ILCDRWISL-11

Document Received Date
May 5, 2021

View LC Events

Drawing LC Details

Tenor Type

Documents Received
Yes

Document Set

Duplicate

Product Code
IBCL

Product Description
INCOMING SIGHT BILLS UNDER LC PAY

Operation Type
PAY

Stage
Initial

Outstanding LC Value
GBP £20,000.00

Drawing Amount
GBP £20,000.00

Amount In Local Currency
GBP £20,000.00

Additional Amount
GBP £0.00

Discount Amount
GBP

Unlinked FX Rate

Shipping Guarantee Reference

Rebate Amount
GBP

Back to Back LC

Audit

Reject Refer Hold Cancel Save & Close Back Next

Following field can be amended based on the description provided in the following table:

| Field | Description | Sample Values |
|----------|--|---------------|
| Priority | User can change the priority defaulted' Values are High, Medium and Low. | High |

Drawing LC Details

The fields listed under this section are same as the fields listed under the [Drawing LC Details](#) section in [Registration](#). Refer to [Drawing LC Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

Drawing LC Details

Tenor Type

Documents Received
Yes

Document Set

Duplicate

Product Code
DCN8

Product Description
INCOMING SIGHT BILLS UNDER LC PAY

Operation Type
PAY

Stage
Initial

Outstanding LC Value
USD \$1,000.00

Drawing Amount
USD \$1,000.00

Amount In Local Currency
GBP £769.23

Additional Amount
USD \$0.00

Audit

Reject Refer Hold Cancel Save & Close Back Next

Following fields can be amended based on the description in the following table:

| Field | Description | Sample Values |
|--------------------|--|---------------|
| Documents Received | Set the document received status as 'Yes' or 'No' from the drop down. | |
| Duplicate | If documents received are duplicate and if the drawing is already completed, user can mark this as duplicate and submit. | |

| Field | Description | Sample Values |
|------------------|--|---------------|
| Operation Type | Select the operation type from the LOV: <ul style="list-style-type: none"> • Payment • Collection • Acceptance • Discount • NEG | |
| Stage | Select the stage from the LOV: <ul style="list-style-type: none"> • Initial • Final | |
| Discount Amount | This field enables user to provide the discount amount to be processed under the LC drawing. | |
| Unlinked FX Rate | Provide the unlinked FX rate. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|-----------|---|---------------|
| Documents | Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application. | |
| Remarks | Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. | |
| Overrides | Click to view overrides, if any. | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Customer Instructions | <p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. | |
| Common Group Message | Click Common Group Message button, to send MT799 and MT999 messages from within the task. | |
| Incoming Message | Displays the incoming message, if any. | |
| Signature | <p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p> | |
| Save & Close | <p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p> | |
| Cancel | Cancel the Scrutiny stage inputs. | |
| Hold | <p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |

| Field | Description | Sample Values |
|-------|---|---------------|
| Refer | User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. | |
| Next | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. | |

Drawing Summary

Document Details

User can compare the document received with the required documents and identify the discrepancies, if any. Provide the information based on the description in the following table:

Document Details

| Document Code | Document Reference Number | Copy | Original | Description |
|---------------|---------------------------|------|----------|--|
| AIRDOC | | | | SET of Clauses for CIF |
| BOL | | | | |
| INSDOC | | | | INSURANCE POLICY OR CERTIFICATE ENDORSED IN BLANK FOR NOT LESS THAN 110PCT INVOICE VALUE, STIPULATING THAT CLAIMS ARE PAYABLE AT DESTINATION |
| INVDOC | | | | Commercial invoice, duly signed |
| MARDOC | | | | CLEAN SEA WAYBILLS CONSIGNED TO APPLICANT, NOTIFY APPLICANT, MARKED 'FREIGHT COLLECT / PREPAID' INDICATING THIS CREDIT NUMBER. |

Page 1 of 2 (1-5 of 7 items) 1 2 Save & Close Cancel

| Field | Description | Sample Values |
|---------------------------|--|---------------|
| Document Code | Document code is auto-populated from the latest LC. | |
| Document Reference Number | Enables user to provide the reference number available in the documents. | |
| Copy | Number of duplicate copies of documents as required in LC. | |
| Original | Number of documents in original as required in LC. | |
| Description | Description of the document required as per LC. | |
| Received Copy | Update the number of duplicate copies of documents received. | |
| Received Original | Update the number of originals of documents received. | |

| Field | Description | Sample Values |
|-------------------------|--|---------------|
| Received Description | Provide the description of the documents received from presenting bank. | |
| Discrepant | System marks the discrepant toggle as 'Yes' if there is difference between number of documents required and number of documents received. It also enables the user to make the changes, if any discrepancy identified in the document. | |
| Discrepancy Code | Select the discrepancy code based on the discrepancy identified. | |
| Discrepancy Description | Description will be displayed based on the discrepancy code. | |
| Resolved Under MT750 | If the discrepancy has already been highlighted in the MT750 and the customer has 'Accepted/ Waived' the discrepancy then the user must set the value as 'Yes' in the toggle. The discrepancies which are resolved under MT750 will not be available in the Discrepancy summary field. | |

Shipment Details

User must check whether the received documents of goods and shipment are as per the LC.

Shipment Details x

Goods Details

| Select | Goods Code | As Per LC | As Per Documents | Discrepant | Discrepant Code | Discrepant Description | Resolved Under MT750 |
|---------------------|------------|-----------|------------------|------------|-----------------|------------------------|----------------------|
| No data to display. | | | | | | | |

Country of Origin Insurance Company Insurance Company Address

Shipment Details

Date of Shipment Shipping Agent Date of Presentation *

| Details | As Per LC | As Per Documents | Discrepant | Discrepant Code | Discrepant Description | Resolved Under MT750 |
|---------------------|-----------|------------------|------------|-----------------|------------------------|----------------------|
| No data to display. | | | | | | |

Carrier Details

Carrier Name Port

+ -

Save & Close Cancel

Goods Details

Provide the Goods Details based on the description in the following table:

| Field | Description | Sample Values |
|------------|--|---------------|
| Goods Code | This field displays goods code as per latest LC. | |
| As per LC | This field displays description of the goods as per the latest LC. | |

| Field | Description | Sample Values |
|---------------------------|--|----------------------|
| As per Documents | Provide the description of the goods as per the documents. | |
| Discrepant | User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received. | |
| Discrepancy Code | Select the discrepancy code based on the discrepancy identified. | |
| Discrepancy Description | Description will be displayed based on the discrepancy code. | |
| Resolved Under MT750 | If the discrepancy has already been highlighted in the MT750 and the customer has 'Accepted/ Waived' the discrepancy then the user must set the value as 'Yes' in the toggle. The discrepancies which are resolved under MT750 will not be available in the Discrepancy summary field. | |
| Country of Origin | Provide the country of origin as per the documents received. | |
| Insurance Company | Provide the details of insurance company as per the document received. | |
| Insurance Company Address | Provide the insurance company address as per the document received. | |

Shipment Details

Shipment Details ✕

Goods Details

| Select | Goods Code | As Per LC | As Per Documents | Discrepant | Discrepant Code | Discrepant Description | Resolved Under MT750 |
|---------------------|------------|-----------|------------------|------------|-----------------|------------------------|----------------------|
| No data to display. | | | | | | | |

Country of Origin Insurance Company Insurance Company Address

Shipment Details

Date of Shipment Shipping Agent Date of Presentation *

| Details | As Per LC | As Per Documents | Discrepant | Discrepant Code | Discrepant Description | Resolved Under MT750 |
|---------------------|-----------|------------------|------------|-----------------|------------------------|----------------------|
| No data to display. | | | | | | |

Carrier Details

| Carrier Name | Port |
|---------------------|------|
| No data to display. | |

Save & Close
Cancel

Provide the Shipment Details based on the description in the following table:

| Field | Description | Sample Values |
|-------------------------|--|---------------|
| Date of Shipment | Provide the date of shipment as per the documents received. This field is validated against the Latest Date of Shipment in LC to check for discrepancy. | |
| Carrier Name | Provide the carrier name as per the document received. | |
| Shipping Agent | Provide the shipping agent details based on the documents received. | |
| Date of Presentation | Read only field. Auto-populated based on the system date. If Place of expiry is Issuing bank, This field is validated against the Expiry Date in LC to check for discrepancy. | |
| Details | Details represent the fields in latest LC. | |
| As per LC | This field displays description of the fields as per the latest LC terms. | |
| As Per Document | Provide the description of the field as per documents submitted. | |
| Discrepant | User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received. | |
| Discrepancy Code | Select the discrepancy code based on the discrepancy identified. | |
| Discrepancy Description | Description will be displayed based on the discrepancy code. | |

| Field | Description | Sample Values |
|----------------------|---|---------------|
| Resolved Under MT750 | <p>If the discrepancy has already been highlighted in the MT750 and the customer has 'Accepted/Waived' the discrepancy then the user must set the value as 'Yes' in the toggle.</p> <p>The discrepancies which are resolved under MT750 will not be available in the Discrepancy summary field.</p> | |

Carrier Details

If the shipment involves multiple carrier and or shipment passes through multiple ports, the details will be captured under this segment.

Carrier Details + -

| | Carrier Name | Port |
|---------------------|--------------|------|
| No data to display. | | |

| Field | Description | Sample Values |
|--------------|---|---------------|
| Carrier Name | Provide the details of the carrier as per the documents received, if the goods got shipped via multiple carriers. | |
| Port | Provide the port details as per the document received, if the ship has transit on its way to the destination. | |

Additional Conditions

Enables the user to check the whether the documents received comply with the additional conditions mentioned in LC.

Additional Conditions x

Additional Conditions +

| FFT Code | FFT Description | Discrepant | Discrepant Code | Discrepant Description | Resolved Under MT750 | Action |
|--------------|-----------------|--------------------------|-----------------|--|----------------------|--------|
| SNDRRRCVINFO | sndrrrcvinfo | <input type="checkbox"/> | CERT | H.S. CODE NO.9401.7900 CUCURR. APPROP. ON BILL OF | | |

Page 1 of 1 (1 of 1 items) Save & Close Cancel

This section displays the list of additional conditions as per LC. Provide the Additional Conditions details as per the description in the following table:

| Field | Description | Sample Values |
|-----------------|--|---------------|
| FFT Code | This field displays the FFT code as per the latest LC. | |
| FFT Description | This field displays the description of the FFT code as per the latest LC. | |
| Discrepant | User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received. | |

| Field | Description | Sample Values |
|-------------------------|---|---------------|
| Discrepancy Code | Select the discrepancy code based on the discrepancy identified. | |
| Discrepancy Description | Description will be displayed based on the discrepancy code. | |
| Resolved Under MT750 | If the discrepancy has already been highlighted in the MT750 and the customer has 'Accepted/ Waived' the discrepancy then the user must set the value as 'Yes' in the toggle. The discrepancies which are resolved under MT750 will not be available in the Discrepancy summary field. | |

Instruction Details

User can capture one of the applicable instruction codes for the drawing. System will populate the instruction description for the selected instruction code.

| Field | Description | Sample Values |
|-------------------------|--|---------------|
| Instruction Code | Select the applicable instruction code for the drawing. | |
| Instruction Description | This field displays the instruction description based on the instruction code. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------------|---|---------------|
| Documents | Click the Documents icon to View/Upload the required documents. | |
| Remarks | Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. | |
| Overrides | Click to view overrides, if any. | |
| View LC | Enables the user to view the latest LC values displayed in the respective fields. | |
| Events | Click this button to view all the Undertaking events under the LC Issued till date. | |
| Save & Close | Save the information provided and holds the task in you queue for working later. This option will not submit the request. | |

| Field | Description | Sample Values |
|--------|---|---------------|
| Cancel | Cancel the Import LC Drawing Scrutiny stage inputs. | |
| Hold | The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant. | |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. | |
| Refer | User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. | |
| Next | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. | |

Discrepancy Details

This section displays the list of discrepancies captured. This section displays the list of discrepancies captured. The discrepancies which are resolved under MT750 will not be available in the discrepancy summary.

| Field | Description | Sample Values |
|-------------------------|--|---------------|
| Accept All | To accept all the discrepancy. System will auto update the Discrepancy status as 'Accepted' and Resolved Date to branch date for all the discrepancy records. User can capture the Resolved Remarks for individual discrepancy if any. | |
| Reject All | To reject all the discrepancy. System will auto update the Discrepancy status as 'Rejected' and Resolved Date to branch date for all the discrepancy records. User can capture the Resolved Remarks for individual discrepancy if any. | |
| Discrepancy Code | Read only field. This field displays the discrepancy code based on the discrepancy identified. | |
| Discrepancy Description | Read only field. This field displays the description based on the description code. | |
| Discrepancy Resolved | Set the toggle to 'Yes', if discrepancy is resolved. | |
| Resolved Date | Update the date when discrepancy is resolved. | |
| Resolved Remarks | Provide remarks for discrepancy resolution. | |
| Resolved Under MT750 | The discrepancies which are resolved under MT750 are listed here and will not be available in the Discrepancy summary field. | |

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------------|---|---------------|
| Documents | Click the Documents icon to View/Upload the required documents. | |
| Remarks | Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. | |
| Overrides | Click to view overrides, if any. | |
| Save & Close | Save the information provided and holds the task in your queue for working later. This option will not submit the request. | |

| Field | Description | Sample Values |
|--------|---|---------------|
| Cancel | Cancel the Import LC Update Drawing Scrutiny stage inputs. | |
| Hold | The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant. | |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. | |
| Refer | User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Next | On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment. | |

Maturity Details

This field displays the maturity details and this section. A Scrutiny user can input the Maturity details data segment of an Islamic Import LC Update Drawing.

Islamic Import LC Drawing Update
Scrutiny :: Application No:- PK2IILU000071081

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message Signatures

Main Details
Drawing Summary
Maturity Details
Additional Details
Summary

Maturity Details
Screen (3 / 5)

Tenor Type: Sight
Tenor Basis: [Search Icon]
Start Date: May 5, 2021
Tenor Days: [Input Field]
Transit Days: [Input Field]
Maturity Date: May 5, 2021
Usance Profit Rate: [Input Field]
Profit Amount: [Input Field]
Profit From Date: [Input Field]
Profit To Date: [Input Field]
Acceptance Commission From Date: [Input Field]
Acceptance Commission To Date: [Input Field]

Other Bank Charges
Other Bank Charges-1 [Input Field] Other Bank Charges-2 [Input Field] Other Bank Charges-3 [Input Field]

Other Bank Profits
Other Bank Profit-1 [Input Field] Other Bank Profit-2 [Input Field] Other Bank Profit-3 [Input Field]

Other Details
Debit Value Date: May 5, 2021
Credit Value Date: May 5, 2021
Value Date: May 5, 2021
Allow Pre-Payment: [Toggle]

Future Finance Details
Future Advance Date: [Input Field]
Future Finance Amount: GBP [Input Field]

Audit Reject Refer Hold Cancel Save & Close Back Next

Provide the maturity details based on the description in the following table:

| Field | Description | Sample Values |
|--------------------|--|---------------|
| Tenor Type | Read only field. This field displays the tenor type as per LC. | |
| Tenor Basis | Update the tenor basis, if the tenor is not sight. The tenor base code description is displayed based on the selected tenor basis. | |
| Start Date | Provide the tenor start date. | |
| Tenor Days | Provide the number of tenor days | |
| Transit Days | Provide the transit days, if the tenor is sight. | |
| Maturity Date | System displays the due date for the drawing based on tenor and tenor basis. If tenor is sight, system will calculate the maturity date as 5 working days from document received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date. If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date. | |
| Usance Profit Rate | Provide the usance interest rate. | |

| Field | Description | Sample Values |
|-----------------------------|--|---------------|
| Profit Amount | Provide the usance interest amount for the LC value as per the tenor basis. | |
| Profit from Date | Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date. | |
| Profit To Date | Select the interest to date. | |
| Accept Commission From Date | Provide the acceptance commission start date. | |
| Accept Commission To Date | Provide the acceptance commission to date. | |

Other Bank Charges

Provide the other bank charges based on the description in the following table:

| Field | Description | Sample Values |
|------------------------|---|---------------|
| Other Bank Charges - 1 | Charges to be collected for the other bank as part of the drawings transaction. | |
| Other Bank Charges - 2 | Charges to be collected for the other bank as part of the drawings transaction. | |
| Other Bank Charges - 3 | Charges to be collected for the other bank as part of the drawings transaction. | |

Other Bank Profits

Provide the other bank charges based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Other Bank Profit - 1 | Charges to be collected for the other bank as part of the drawings transaction. | |
| Other Bank Profit - 2 | Charges to be collected for the other bank as part of the drawings transaction. | |
| Other Bank Profit - 3 | Charges to be collected for the other bank as part of the drawings transaction. | |

Other Details

Provide the other bank details based on the description in the following table:

| Field | Description | Sample Values |
|-------------------|--------------------------------|---------------|
| Debit Value Date | Capture the debit value date. | |
| Credit Value Date | Capture the credit value date. | |
| Value Date | Capture the value date. | |

| Field | Description | Sample Values |
|-------------------|--|---------------|
| Allow Pre-Payment | <p>Toggle On: Set the toggle 'On' to enable pre-payment.</p> <p>Toggle Off: Set the toggle 'Off' to disable pre-payment.</p> | |

Future Finance Details

Provide the future finance details based on the description in the following table:

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Future Advance Date | <p>Select the future advance date to capture advanced requested Date.</p> <p>Future Advance Date should be less than the Bill Maturity Date and should be greater than the current branch date.</p> <p>System should default the "Future Advance Date" which has been captured already as part of the latest version of the contract and should allow the user to modify the same.</p> <p>This field is enabled if the operation code is "ACC".</p> | |
| Future Finance Amount | Specify the future finance amount. | |

Additional Details

A Scrutiny user can verify/input/update the additional details Data Segment of the Islamic Import LC update Drawing request

As part of Additional details section, LC may have impact on the Limits, Collaterals and Charge section.

Islamic Import LC Drawing Update - Scrutiny :: Application No: PK21ILU000007227

Overrides Customer Instruction Common Group Messages Incoming Message

Main Details Drawing Summary Maturity Details **Additional Details** Summary

Additional Details

| Limits & Collaterals | Charge Details | Assignment of Proceeds |
|--|---|---|
| Limit Currency : Limit Contribution : Limit Status : Collateral Currency : USD Collateral Contribution : 880 Contribution : | Charge : Commission : Tax : Block Status : | AssignmentOfProceeds: No Assignee Name : Assigned Amount : |

Audit Reject Refer Hold Cancel Save & Close Back Next

Screen (4 / 5)

Limits & Collateral

Limits & Collaterals ×

Limit Details +

| <input type="checkbox"/> | Customer ID | Line ID | Contribution % | Contribution Currency | Contribution Amount | Limit Check Response | Response Message | Edit | Delete |
|--------------------------|-------------|---------|----------------|-----------------------|---------------------|----------------------|------------------|------|--------|
| No data to display. | | | | | | | | | |

Collateral Details +

| Collateral Type | Collateral % | Currency | Contribution Amount | Settlement Account | Account Balance Check Response | Response Message | Edit | Delete |
|-----------------|--------------|----------|---------------------|--------------------|--------------------------------|------------------|-----------------|--------|
| Cash Collateral | 0 | GBP | US\$0.00 | PK1000325025 | | | Cash Collateral | |

Save & Close
Cancel

Limit Details ×

Customer Id
001044

Contribution % *
100.0

Contribution Currency
GBP

Limit Currency
GBP

Limit Check Response
Available

Expiry Date

Verify

Line ID *
001044

Limits Description

Contribution Amount *
£2,200.00

Limit Available Amount
£999,528,418,464.36

Response Message
The Earmark can be performed as the f

Save & Close
Close

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Limit Details | Read only field. Customer ID: Applicant's/Applicant Bank customer ID will get defaulted. | |
| Line ID | Read only field. LINE ID-DESCRIPTION will be available along with Line ID. | |
| Contribution | System will default this to 100%. | |
| Contribution Currency | The LC currency will be defaulted in this field. | |
| Contribution Amount | Contribution amount will default based on the contribution%. | |
| Limit Currency | Limit Currency will be defaulted in this field. | |

| Field | Description | Sample Values |
|------------------------|---|---------------|
| Limit Available Amount | This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. | |
| Limit Check Response | Response can be 'Success' or 'Limit not Available'. | |
| Response Message | Detailed Response message. | |
| Expiry Date | This field displays the date up to which the Line is valid | |

This section displays the collateral details:

Collateral Details

| Collateral Type | Collateral % | Currency | Contribution Amount | Settlement Account | Account Balance Check Response | Response Message | Edit | Delete |
|-----------------|--------------|----------|---------------------|--------------------|--------------------------------|------------------|-----------------|--------|
| Cash Collateral | 0 | GBP | US\$0.00 | PK1000325025 | | | Cash Collateral | |

Collateral Details
✕

Collateral Type *
Cash Collateral ▼

Currency
GBP

Settlement Account *
2030013460000000017 🔍

Settlement Account Currency
GBP

Response
Available

Verify

Collateral % *
20 ▼ ▲

Contribution Amount *
£4,000.00

Settlement Account Branch
203

Account Available Amount
£998,926,760.53

Response Message
The amount block can be performed as

✔ Save & Close
✕ Cancel

| Field | Description | Sample Values |
|-----------------------------|--|---------------|
| Collateral Type | Cash Collateral (CASA) will be the default value available as collateral type. Selected collateral type will be displayed in this field. | |
| Collateral% | This field displays the percentage of collateral. | |
| Currency | The LC currency will get defaulted in this field. | |
| Contribution Amount | Collateral contribution amount will get defaulted in this field. | |
| Settlement Account | This field displays the details of settlement account for then collateral. | |
| Settlement Account Branch | Settlement Account Branch will be auto-populated based on the Settlement Account selection. | |
| Settlement Account Currency | This field displays the Settlement Account Currency. | |
| Account Available Amount | Account Available Amount will be auto-populated based on the Settlement Account selection. | |
| Response | Response can be 'Success' or 'Amount not Available'. | |
| Response Message | Detailed Response message. | |

Charge Details

This section displays charge details:

Charge Details

Recalculate
Redefault

▲ Charge Details

| Component | Currency | Amount | Modified | Billing | Defer | Waive | Charge Party | Settlement Account |
|-----------|----------|--------|----------|--------------------------|--------------------------|--------------------------|--------------|---|
| LCCOURISS | GBP | £50.00 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Applicant | 2030013460000000017 <input type="text" value=""/> |
| LCSWIFTIS | GBP | £50.00 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Applicant | 2030013460000000017 <input type="text" value=""/> |
| OTHBNKCHG | GBP | £50.00 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Applicant | 2030013460000000017 <input type="text" value=""/> |

▲ Commission Details

| Component | Rate | Currency | Amount | Modified | Defer | Waive |
|-----------|------|----------|--------|----------|-------|-------|
| | | | | | | |

✔ Save & Close
✘ Cancel

| Field | Description | Sample Values |
|-----------------|---|---------------|
| Component | Charge Component type. | |
| Currency | Defaults the currency in which the charges have to be collected. | |
| Amount | An amount that is maintained under the product code gets defaulted in this field. | |
| Modified Amount | From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field. | |
| Billing | <p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFCM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p> | |

| Field | Description | Sample Values |
|--------------------|--|---------------|
| Defer | <p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p> | |
| Waive | <p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.</p> | |
| Charge Party | Charge party will be applicant by default. You can change the value to beneficiary | |
| Settlement Account | Details of the settlement account. | |

This section displays the commission details:

Charge Details

▲ Commission Details

| Component | Rate | Currency | Amount | Modified | Defer | Waive |
|------------|------|----------|------------|----------------------|--------------------------|--------------------------|
| AILSN_COMM | 1.5 | GBP | \$1,900.00 | <input type="text"/> | <input type="checkbox"/> | <input type="checkbox"/> |

▲ Tax Details

| Component | Currency | Amount | Settlement Account |
|-----------|----------|--------|---------------------|
| LCTAX2 | GBP | 95 | 2030013460000000017 |
| LCTAX | GBP | 1600 | 2030013460000000017 |
| LCTAX1 | GBP | 0 | 2030013460000000017 |

| Field | Description | Sample Values |
|-----------|---|---------------|
| Component | This field displays the commission component. | |
| Rate | Defaults from product. | |
| Currency | Defaults the currency in which the commission needs to be collected | |
| Amount | An amount that is maintained under the product code defaults in this field. | |

| Field | Description | Sample Values |
|--------------------|--|---------------|
| Modified Amount | From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field. | |
| Billing | If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. | |
| Defer | If check box is selected, charges/commissions has to be deferred and collected at any future step. | |
| Waive | Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder. | |
| Charge Party | Charge party will be 'Applicant' by Default. You can change the value to Beneficiary | |
| Settlement Account | Details of the Settlement Account. | |

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Charge Details

▲ Commission Details

| Component | Rate | Currency | Amount | Modified | Defer | Waive |
|-------------|------|----------|------------|--------------------------|--------------------------|--------------------------|
| AILS_N_COMM | 1.5 | GBP | \$1,900.00 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

▲ Tax Details

| Component | Currency | Amount | Settlement Account |
|-----------|----------|--------|----------------------|
| LCTAX2 | GBP | 95 | 20300134600000000017 |
| LCTAX | GBP | 1600 | 20300134600000000017 |
| LCTAX1 | GBP | 0 | 20300134600000000017 |

| Field | Description | Sample Values |
|-----------|---|---------------|
| Component | Tax Component type | |
| Currency | The tax currency is the same as the commission. | |

| Field | Description | Sample Values |
|--------------------|--|---------------|
| Amount | The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required. | |
| Settlement Account | Details of the settlement account. | |

Assignment of Proceeds


In this tile, assignment details for the applicable processes is displayed. Proceeds can be assigned only if the parties have been assigned during drawing or update drawing process.

Assignment of Proceeds x

Assignment Paid By Importer

Assignee Details + -

| Sequence | Assignee Id & Assignee Details | Assignment % | Currency | Assigned Amount | Assignee Bank Details | Account With Institution |
|----------------------------|--|--------------|----------|-----------------|--|---|
| <input type="checkbox"/> 0 | 001204 <input type="text" value="ertvrtrtr"/> | 0.17 | GBP | \$100.00 | <input type="text" value=""/> | <input type="text" value=""/> |
| <input type="checkbox"/> 1 | 000335 <input type="text" value="SH TEST CORP"/> | | GBP | | 003723 <input type="text" value="CITIBANK LON"/> | 001183 <input type="text" value="RABO BANK"/> |

| Field | Description | Sample Values |
|--------------------------------|---|---------------|
| Assignment Paid By Importer | Slide the toggle if assignment is paid by importer. | |
| Sequence | User can update the sequence number. | |
| Assignee ID & Assignee Details | <p>User can select the assignee id. Assignee details appear based on selected assignee ID.</p> <p>User can add multiple assignees to a single Bill under LC with the assignment amount or assignment percentage of parent LC.</p> <p> Note WALKIN customers is allowed as assignee.</p> | |

| Field | Description | Sample Values |
|--------------------------|--|---------------|
| Assignment % | User can input the percentage of LC amount that has be assigned to the assignee. Once the user updates the rate, the system has to calculate the amount as per assigned rate and default in the assigned amount field. If the user directly captures the assigned amount then the assigned percentage has to calculate the percentage and the same to be populated in the screen. If contribution is more than 100%, system to display an alert message. Once contribution % is input system will default the amount. System to validate that Limit Contribution percentage plus Collateral percentage is equal to 100. Otherwise system to provide override.The assignment percentage should be rounded to two decimal places | |
| Currency | User can select the account currency of the settlement account of the assignee. Only the currencies for which the settlement account is available, only those currency will be displayed. | |
| Assigned Amount | User can input the amount that has to be assigned to the assignee. If the user has already inputted the assignment percentage, system to calculate the amount and populate the value | |
| Assignee Bank Details | User can select the assignee bank. | |
| Account with Institution | User can select the account number of the Account with Institution. | |

Summary

User can review the summary of details updated in Data Enrichment stage of Islamic Import LC Drawing request.

Islamic Import LC Drawing Update - Scrutiny :: Application No: PK2IILU000007227

Overrides Customer Instruction Common Group Messages Incoming Message

Main Details Drawing Summary Maturity Details Additional Details Summary

Summary

| Main Details | Document Details | Shipment Details | Additional Conditions |
|--|---|---|---|
| Product Code : DCN8 Currency : USD Amount : 1000 | Document 1 : AIRDOC Document 2 : BOL Document 3 : INSDOC Document 4 : INVDOC Document 5 : MARDOC | Goods Code : Goods Description : | FFT Code 1 : FFT Code 2 : |
| Discrepancy Details | Maturity Details | Limits and Collaterals | Commission,Charges and Taxes |
| Discrepancy Code 1 : Discrepancy Code 2 : | Tenor Type : Sight Tenor Basis : Maturity Date : 2021-05-05 | Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : USD Collateral Contr. : 880 Collateral Status : Not Verified | Charge : Commission : Tax : Block Status : Not Initia |
| Parties Details | Assignment of Proceeds | | |
| Drawer : MARKS AND Presenting Bank : WELLS FARG Drawee : GOODCARE PLC | Assignmentofproceeds : No Assignee Name : Assigned Amount : | | |

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Screen (5 / 5)

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Additional Conditions - User can view additional conditions of the drawing.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Advices - User can view the advices.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------------|---|---------------|
| Documents | Click the Documents icon to View/Upload the required documents. | |
| Remarks | Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application. | |
| Overrides | Click to view overrides, if any. | |
| Submit | Task will get moved to next logical stage of Import LC Update Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. | |
| Save & Close | Save the information provided and holds the task in user's queue for working later. This option will not submit the request | |
| Cancel | Cancel the Data Enrichment stage inputs. | |
| Hold | The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant. | |

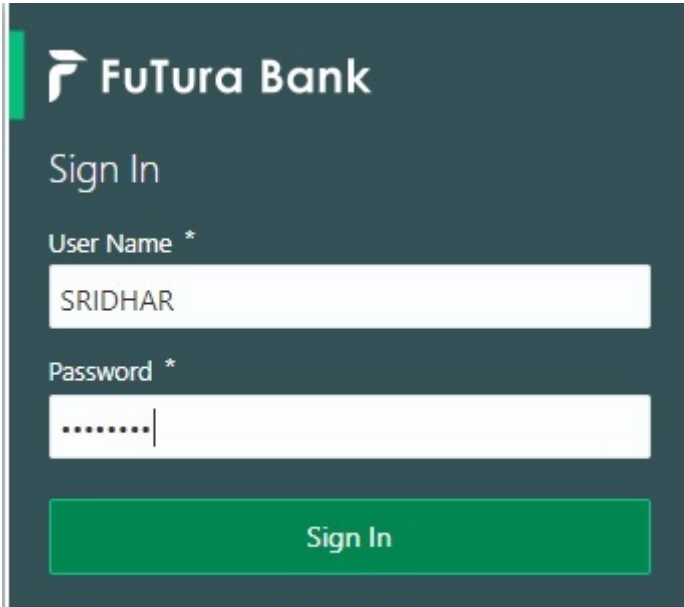
| Field | Description | Sample Values |
|--------|---|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Refer | <p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. | |
| Next | <p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p> | |

Data Enrichment

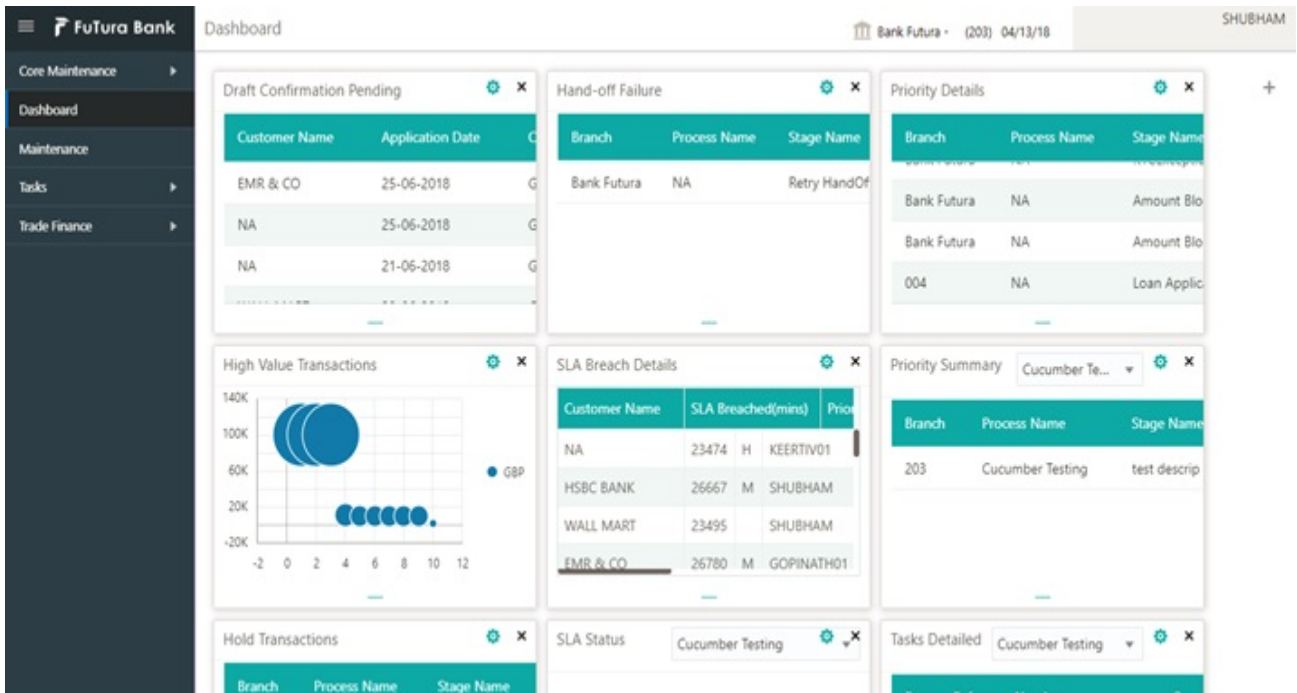
Data Enrichment is applicable only for non-discrepant drawings. A DE User can input Import LC update Drawing. As part of data enrichment user will enter/update basic details of the incoming request.

Do the following steps to acquire a task at Data Enrichment stage:

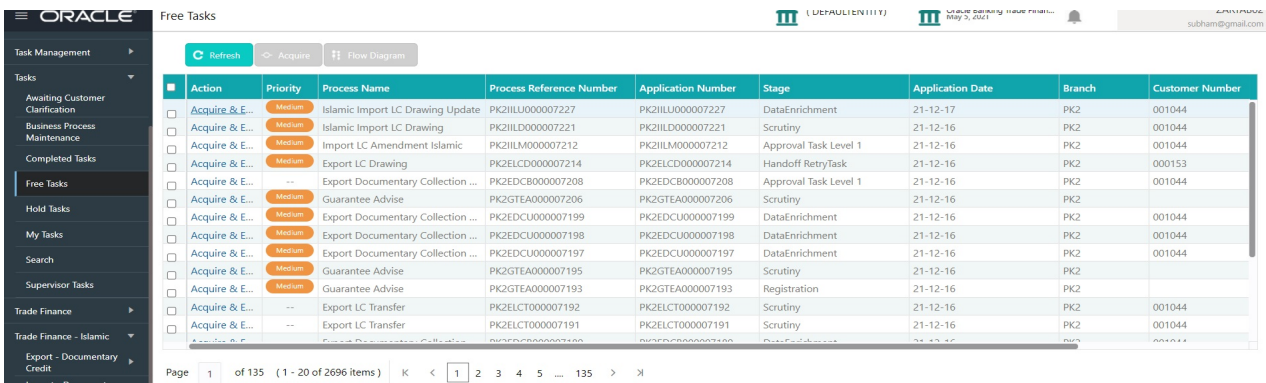
1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Tasks> Free Tasks.



4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Number |
|----------------|----------|---------------------------|--------------------------|--------------------|-----------------------|------------------|--------|-----------------|
| Acquire & Edit | Medium | Export LC Advise Islamic | 000IELA000007237 | 000IELA000007237 | DataEnrichment | 21-12-17 | PK2 | 000335 |
| Acquire & Edit | Medium | Guarantee Advice Closu... | PK2GTAC000007234 | PK2GTAC000007234 | Approval Task Level 1 | 21-12-17 | PK2 | 001044 |
| Acquire & Edit | Medium | Import LC Amendment L... | PK2IILM000007212 | PK2IILM000007212 | Approval Task Level 1 | 21-12-16 | PK2 | 001044 |
| Acquire & Edit | Medium | Export LC Drawing | PK2ELCD000007214 | PK2ELCD000007214 | Handoff RetryTask | 21-12-16 | PK2 | 000153 |
| Acquire & Edit | Medium | Export Documentary Co... | PK2EDCB000007208 | PK2EDCB000007208 | Approval Task Level 1 | 21-12-16 | PK2 | 001044 |
| Acquire & Edit | Medium | Guarantee Advise | PK2GTEA000007209 | PK2GTEA000007209 | Approval Task Level 1 | 21-12-16 | PK2 | 001044 |
| Acquire & Edit | Medium | Guarantee Advise | PK2GTEA000007206 | PK2GTEA000007206 | Scrutiny | 21-12-16 | PK2 | |
| Acquire & Edit | Medium | Export Documentary Co... | PK2EDCU000007199 | PK2EDCU000007199 | DataEnrichment | 21-12-16 | PK2 | 001044 |
| Acquire & Edit | Medium | Export Documentary Co... | PK2EDCU000007198 | PK2EDCU000007198 | DataEnrichment | 21-12-16 | PK2 | 001044 |
| Acquire & Edit | Medium | Export Documentary Co... | PK2EDCU000007197 | PK2EDCU000007197 | DataEnrichment | 21-12-16 | PK2 | 001044 |
| Acquire & Edit | Medium | Guarantee Advise | PK2GTEA000007195 | PK2GTEA000007195 | Scrutiny | 21-12-16 | PK2 | |
| Acquire & Edit | Medium | Guarantee Advise | PK2GTEA000007193 | PK2GTEA000007193 | Registration | 21-12-16 | PK2 | |
| Acquire & Edit | Medium | Export LC Transfer | PK2ELCT000007192 | PK2ELCT000007192 | Scrutiny | 21-12-16 | PK2 | 001044 |

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

| Action | Priority | Process Name | Process Reference Number | Application Number | Stage | Application Date | Branch | Customer Number |
|--------|----------|-----------------------------------|--------------------------|--------------------|--------------------------|------------------|--------|-----------------|
| Edit | Medium | Islamic Import LC Drawing Upda... | PK2IILU000007227 | PK2IILU000007227 | DataEnrichment | 21-12-17 | PK2 | 001044 |
| Edit | Medium | Import LC Issuance Islamic | PK1IILU000007200 | PK1IILU000007200 | KYC Exceptional approval | 21-12-16 | PK2 | 000325 |
| Edit | Medium | Shipping Guarantee Issuance | PK2SGTI000007183 | PK2SGTI000007183 | DataEnrichment | 21-12-16 | PK2 | 001044 |
| Edit | Medium | Lodge Claim - Guarantee Issued | PK2GTEC000007179 | PK2GTEC000007179 | Scrutiny | 21-12-16 | PK2 | 001044 |
| Edit | Medium | Guarantee advise claim lodging | PK2GADC000007176 | PK2GADC000007176 | DataEnrichment | 21-12-16 | PK2 | 001044 |
| Edit | Medium | Guarantee Issuance Closure | PK2GTEC000007172 | PK2GTEC000007172 | DataEnrichment | 21-12-16 | PK2 | 001044 |
| Edit | Medium | Guarantee SBLC Issuance -Claim... | PK2GISC000007171 | PK2GISC000007171 | DataEnrichment | 21-12-16 | PK2 | 001044 |
| Edit | Medium | Guarantee Issuance Internal Am... | PK2GTEI000007167 | PK2GTEI000007167 | DataEnrichment | 21-12-16 | PK2 | 001044 |
| Edit | Medium | Guarantee Issuance Internal Am... | PK2GTEI000007163 | PK2GTEI000007163 | Registration | 21-12-15 | PK2 | 001044 |
| Edit | Medium | Guarantee Issuance Amendment ... | PK2GTEI000007162 | PK2GTEI000007162 | DataEnrichment | 21-12-15 | PK2 | 001044 |
| Edit | Medium | Guarantee Cancellation | PK2GTEC000007160 | PK2GTEC000007160 | DataEnrichment | 21-12-15 | PK2 | 000325 |
| Edit | Medium | Guarantee Advise Cancellation | PK2GTAC000007159 | PK2GTAC000007159 | DataEnrichment | 21-12-15 | PK2 | 001044 |
| Edit | Medium | Guarantee Advise Amendment | PK2GTAA000007158 | PK2GTAA000007158 | DataEnrichment | 21-12-15 | PK2 | 001044 |

The Data Enrichment stage has five sections as follows:

- Main Details
- Beneficiary Confirmation Details
- Document Details
- Shipment Details
- Additional Conditions
- Discrepancy Details
- Maturity Details
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

Refer to [Main Details](#).

Document Details

Refer to [Drawing Summary](#).

Shipment Details

Refer to [Shipment Details](#).

Additional Conditions

Refer to [Additional Conditions](#).

Discrepancy Details

Refer to [Discrepancy Details](#).

Maturity Details

Refer to [Maturity Details](#).

Advices

Islamic Import LC Drawing Update - DataEnrichment :: Application No: PK2IILLU000007227

Customer Instruction Common Group Messages Incoming Message

Main Details Drawing Summary **Advices** Maturity Details Additional Details Settlement Summary

Advices

Advice : PAYMENT_MESS...

Advice Name : **PAYMENT_MESSAGE**
Advice Party :
Party Name :
Suppress : **NO**
Advice

Audit Reject Refer Hold Cancel Save & Close Back Next

Screen (3 / 7)

Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required.

Additional Details

As part of DE the user can verify and enter the basic additional details available in the Islamic Import LC Update Drawing. In case the request is received through online channel user will verify the details populated.

Islamic Import LC Drawing Update - DataEnrichment :: Application No: PK2IILU000007227

Overrides Customer Instruction Common Group Messages Incoming Message

Main Details Drawing Summary Advices Maturity Details **Additional Details** Settlement Summary

Additional Details

| Limits & Collaterals | Charge Details | Preview Message | Assignment of Proceeds |
|---|---|----------------------------------|--|
| Limit Currency : Limit Contribution : Limit Status : Collateral Currency : USD Collateral : 880 Contribution : | Charge : Commission : Tax : Block Status : | Language : Preview Advice : - | AssignmentOfProceeds No Assignee Name : Assigned Amount : |

Finance Preferences

:
:
Finance Amount :

Audit Reject Refer Hold Cancel Save & Close Back Next

Screen (5 / 7)

Revolving Details

Refer to [Revolving Details](#).

Limits & Collateral

Refer to [Limits & Collateral](#).

Charge Details

Refer to [Charge Details](#).

Payment Details

Payment Details

Advance by Finance

Immediate Accept

Liquidate using Collateral

Transfer Collateral from LC

Reimbursement Claimed

Immediate Liquidation Required

Reimbursing Bank

Auto Liquidate

Reimbursement Date

Settlement Details - Liquidation


| Component | Currency | Debit/Credit | Account | Account Description | Branch | Account Currency |
|---------------------|----------|--------------|---------|---------------------|--------|------------------|
| No data to display. | | | | | | |

Page 1 (0 of 0 items) < 1 >

Save & Close
Cancel

Provide payment details based on the description in the following table:

| Field | Description | Sample Values |
|--------------------------------|---|---------------|
| Advance by Finance | Read only field. Advance by finance enables creation of loan at the time of Final liquidation. | |
| Transfer Collateral from LC | Toggle On: Set the toggle 'On' to enable the transfer collateral from LC. Toggle Off: Set the toggle 'Off' to disable the transfer collateral from LC. | |
| Immediate Liquidation Required | This toggle is applicable only for sight LC's and only if the drawings are without discrepancy. Switch on the toggle to enable immediate liquidation for the drawing. | |
| Auto Liquidate | Yes: Select Yes, if auto liquidation is required. It is applicable only if the drawing is without discrepancy. No: Select No if auto liquidation is not required | |
| Immediate Accept | Read only field. Immediate Accept enables immediate accept. | |
| Reimbursement Claimed | Switch on the toggle if the reimbursement is already claimed. This field is applicable only if reimbursement is applicable and LC has reimbursement bank details. | |

| Field | Description | Sample Values |
|------------------|--|---------------|
| Reimbursing Bank | <p>Read only field.</p> <p>Reimbursing bank details gets defaulted from the LC.</p> <div style="text-align: center;">  <p>Note</p> <p>If the user selects another bank and in case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”.</p> </div> | |

Tracer Details

User can trace the response for the discrepancies identified.

Payment Tracer

Provide the payment tracer details based on the description in the following table:

Tracer Details

▲ Payment Tracer

Tracer Required Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date

▲ Discrepancy Tracer

Tracer Required Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date

▲ Acceptance Tracer

Tracer Required Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date

| Field | Description | Sample Values |
|-------------------|--|---------------|
| Tracer Required | <p>Toggle on - Switch on the toggle to capture the tracer details.</p> <p>Toggle off - Switch of the toggle, if user does not require to capture tracer details.</p> | |
| Number of Tracers | Provide the number of tracers required. | |
| Tracer Frequency | System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc. | |

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Tracer Medium | Select the tracer medium from the LOV: <ul style="list-style-type: none"> • Mail • Email • Swift | |
| Tracer Receiver Party | Read only field. 'Applicant' will be defaulted as tracer receiver party. | |
| Tracer Start Date | Capture the tracer start date. If the date is earlier than system date, system to display an error message. | |

Discrepancy Tracer

Provide the discrepancy tracer details based on the description in the following table:

Tracer Details

▲ Payment Tracer

Tracer Required Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date

▲ Discrepancy Tracer

Tracer Required Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date

▲ Acceptance Tracer

Tracer Required Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Tracer Required | Toggle on - Switch on the toggle to capture the tracer details. Toggle off - Switch of the toggle, if user does not require to capture tracer details. | |
| Number of Tracers | Provide the number of tracers required. | |
| Tracer Frequency | System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc. | |
| Tracer Medium | Select the tracer medium from the LOV: <ul style="list-style-type: none"> • Mail • Email • Swift | |
| Tracer Receiver Party | Read only field. 'Applicant' will be defaulted as tracer receiver party. | |

| Field | Description | Sample Values |
|-------------------|---|---------------|
| Tracer Start Date | Capture the tracer start date. If the date is earlier than system date, system to display an error message. | |

Acceptance Tracer

Provide the acceptance tracer details based on the description in the following table:

Tracer Details

Payment Tracer

Tracer Required

Tracer Receiver Party

Number of Tracers

Tracer Start Date

Tracer Frequency

Tracer Medium

Discrepancy Tracer

Tracer Required

Tracer Receiver Party

Number of Tracers

Tracer Start Date

Tracer Frequency

Tracer Medium

Acceptance Tracer

Tracer Required

Tracer Receiver Party

Number of Tracers

Tracer Start Date

Tracer Frequency

Tracer Medium

Save & Close

| Field | Description | Sample Values |
|-----------------------|---|---------------|
| Tracer Required | Toggle on - Switch on the toggle to capture the tracer details. Toggle off - Switch of the toggle, if user does not require to capture tracer details. | |
| Number of Tracers | Provide the number of tracers required. | |
| Tracer Frequency | System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc. | |
| Tracer Medium | Select the tracer medium from the LOV: <ul style="list-style-type: none"> • Mail • Email • Swift | |
| Tracer Receiver Party | Read only field. 'Applicant' will be defaulted as tracer receiver party. | |
| Tracer Start Date | Capture the tracer start date. If the date is earlier than system date, system to display an error message. | |

FX Linkage

This section enables the user to link the existing FX contract(s) to the drawing. User can link one or more FX deals to a drawing/bill. The linked value of an FX deal(s) must not exceed the value of the drawing/bill.

FX contract linkage with the Drawing/Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the drawing/bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

Provide the FX linkage detail based on the description in the following table:

| Field | Description | Sample Values |
|---------------------|--|---------------|
| FX Reference Number | <p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> • Counterparty of the FX contract should be the counterparty of the Bill contract. • Active Forward FX transactions authorized not marked for auto liquidation. <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p> | |

| Field | Description | Sample Values |
|-----------------------------|--|---------------|
| Bought Currency | This field displays the currency from the linked FX contract. | |
| Sold Currency | This field displays the currency from the linked FX contract. | |
| Available ContractAmount | Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero. | |
| Rate | This field displays the rate at which the contract is booked. | |
| Linked Amount | Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage. | |
| Current Utilized amount | This field displays the liquidated /purchased / discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount. | |
| Total Utilized amount | This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version. | |
| Amount in Contract Currency | This field displays the amount in contract currency converted from FX currency. | |
| FX Expiry Date | This field displays the expiry date from the linked FX contract. | |
| FX Delivery Period - From | This field displays the date from which the contract is valid for utilization. | |
| FX Delivery Period - To | This field displays the date to which the contract is valid for utilization. | |
| Action | Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details. | |
| Average FX Rate | Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate. | |

Finance Preference

This section enables the user to request for a loan to liquidate the drawing under the LC. This section will be enabled based on the product selected for booking the drawing under the LC.

Provide the loan preference details based on the description in the following table:

| Field | Description | Sample Values |
|------------------------|--|---------------|
| Drawing/Collection Ref | Read only field. This field displays the loan product linked to the drawing product. | |
| Bill Currency-Amount | Outstanding Drawing/ Collection Currency and Amount. Defaults from the underlying task. User cannot change the value. | |
| Customer ID | Read only field. This field displays the customer ID of the applicant/applicant bank. | |
| Customer Name | Read only field. This field displays the applicant/applicant bank name. | |
| Product Code | Defaults from the underlying Collection/ Drawing Product maintenance. User cannot change the value. | |
| Currency-Amount | Loan currency is defaulted from the bill currency. User can change this to Local Currency. System should display an error message on tab out if the currency selected is not a Bill currency or Local Currency. System populates the loan account based on the outstanding drawing/ Collection amount less the collateral amount. User cannot change the Loan amount. | |

| Field | Description | Sample Values |
|--------------------------|--|---------------|
| Original Exchange Rate | System displays the Original Exchange rate. | |
| Exchange Rate | Exchange rate applicable for Local Currency. User can enter the Exchange rate. System validates the exchange rate is within the allowed range. | |
| Tenor Units | Application defaults the loan tenor units based on the product. | |
| Tenor Type | System defaults the value. User can change the value. Values are Days, Months and Years. The numerical value for Days or months or Years is applicable. | |
| Rate Type | System defaults the rate type. | |
| Rate Code | System populates the Rate code as part of simulation. | |
| UDE Details | | |
| User Defined Element ID | System populates the UDE Element ID as part of simulation. User are allowed to change the selection through LOV. | |
| UDE Description | System populates the UDE description as part of simulation. If a user changes the UDE ID, system should populate the description. | |
| Rate Code | System populates the Rate code as part of simulation. | |
| Usage | System populates the details as part of simulation. | |
| UDE Value | System populates the value as part of simulation. | |
| Limits | | |
| Linkage Type | System defaults the linkage type as "Facility" from back office. | |
| Linkage Reference Number | System defaults the Linkage reference as part of simulation. User can change the value. Linkages available for the customer should be displayed for selection. | |
| Linked Percentage | User can enter the value. Maximum is 100. User cannot enter negative values. | |

| Field | Description | Sample Values |
|--------------|---|---------------|
| Limit Amount | System defaults the value. System should populate the value based on the contribution percentage. | |

Preview

User can view the draft preview of the advise.

Profit Details

The user can view and modify the Interest Details Simulated from Back Office system.

Interest Details
✕

Recalculate
Redefault

☞ Interest Details

| Component | Component Description | Rate Type | Rate Code | Min Spre... | Max Spread | Spread | Min Rate | Max Ra... | Rate | Modified Rate | Currency | Amou... | Waive | Charge Par... | Settlement Account |
|------------|------------------------------|-----------|-----------|-------------|------------|--------|----------|-----------|------|---------------|----------|---------|--------------------------|---------------|-----------------------------|
| IBC_ACP_IN | BC IMP ACCEPTANCE COMMISSION | Fixed | | | | | 2 | 16 | 6.2 | | EUR | €0.85 | <input type="checkbox"/> | Applicant | PK1000325025 🔍 |

Save & Close
Close

Linked Loan Details

| Field | Description | Sample Values |
|-----------------------|--|---------------|
| Component | This field displays the component maintained in the back office. | |
| Component Description | This description of the component. | |
| Rate Type | System defaults the rate type maintained for the component in back office. Value can be: <ul style="list-style-type: none"> • Fixed Floating • Special | |
| Rate Code | This field displays the rate code applicable for the Componen. | |
| Min Spread | This field displays the minimum spread applicable for the Rate Code. This field have value only if the Rate Type is Floating . | |
| Max Spread | This field displays the maximum spread applicable for the Rate Code. This field have value only if the Rate Type is Floating . | |
| Spread | This field displays the spread applicable for the Component in case of Floating Rate Component. User can change the defaulted value. System validates whether the spread input is within the Minimum to Maximum Spread. | |
| Min Rate | This field displays the minimum rate applicable for the Rate Code. | |
| Max Rate | This field displays the maximum rate applicable for the Rate Code. | |
| Rate | This field displays the value applicable for the Rate Code. User can change the value if the Rate Type is Fixed . System validates whether the Rate input is between the Minimum and Maximum Rate. | |
| Modified Rate | This field displays the modified rate. | |
| Currency | System defaults the Interest currency in this field. | |

| Field | Description | Sample Values |
|--------------------|--|---------------|
| Amount | User can input the interest amount, in case the Rate Type is Special , else user can not be able to input the amount. In such cases, the amount will be calculated by back office immediately only if the Interest is collected in Advance or if Back Dated Interest is collected. In that scenario, the Amount will be populated on Re-simulation from back office. Otherwise Interest will be calculated only in the batch as maintained. | |
| Waive | User can waive the Interest applicable. System displays an override, if the user has waived the Interest. | |
| Charge Party | System displays the Charge Party based on the type of transaction. In case of Export Transactions, Drawer should be the defaulted Charge Party for Collection Bills and Beneficiary for the LC Bills. In case of Import Transactions, Drawee should be the Charge Party for Collection and Applicant for the LC Bills.. | |
| Settlement Account | System defaults the Settlement Account of the Charge Party for debit of Interest. User can change the value. System should display an error if a different customer is chosen. If different account of the Charge Party is selected, system should display a override. In case the user modifies the Interest Rate, the user should click on Recalculate button to get the modified amount from the back office and display the new Amount.(Recalculation is done in back office and not in OBTFPM).. | |

Linked Finance Details

This user can view the details of linked loan accounts.

| Finance Account | Finance Currency | Finance Amount |
|---------------------|------------------|----------------|
| No data to display. | | |

Provide the linked loan details based on the description in the following table:

| Field | Description | Sample Values |
|------------------|---|---------------|
| Finance Account | The details of the linked loan account. | |
| Finance Currency | Loan Currency of the linked loan account. | |
| Finance Amount | Loan amount of the linked loan account. | |

Settlement Details

As part of DE, the user will verify and enter the basic additional details available in the Islamic Import LC update drawing. In case the request is received through online channel user will verify the details populated.

Islamic Import LC Drawing Update - DataEnrichment :: Application No: PK2IILU000007227

Screen (6 / 7)

Settlement

Current Event

Settlement Details

| Component | Currency | Debit/Credit | Account | Account Description | Account Currency | Netting Indicator | Current Event |
|-----------------|----------|--------------|---------------|---------------------|------------------|-------------------|---------------|
| BILL_LIQ_AMT | USD | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| BILL_LIQ_AMTEQ | USD | Credit | PK20010440017 | WELLS FARGO LA | USD | No | No |
| BKTAX_AMT | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| CASH_COLL_AMTEQ | USD | Credit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| COLL_AMNDAMT | USD | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No |
| LQTAX_AMT | GBP | Debit | PK20010440017 | GOODCARE PLC | GBP | No | No |

Audit

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

| Field | Description | Sample Values |
|---------------------|---|---------------|
| Currency Event | The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event. | |
| Component | Components gets defaulted based on the product selected. | |
| Currency | Application displays the default currency for the component. | |
| Debit/Credit | Application displays the debit/credit indicators for the components. | |
| Account | Application Displays the account details for the components. | |
| Account Description | Application displays the description of the selected account. | |
| Account Currency | Application defaults the currency for all the items based on the account number. | |
| Netting Indicator | Application displays the applicable netting indicator. | |
| Current Event | Application displays the current event as Y or N. | |

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

| Field | Description | Sample Values |
|--|---|---------------|
| Transfer Type | Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer | |
| Charge Details | Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges | |
| Netting Indicator | Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No | |
| Ordering Customer | Select the ordering customer from the LOV. | |
| Ordering Institution | Select the ordering institution from the LOV. | |
| Senders Correspondent | Select the senders correspondent from the LOV. | |
| Receivers Correspondent | Select the receivers correspondent from the LOV. | |
| Intermediary Institution | Select the intermediary institution from the LOV. | |
| Account with Institution | Select the account with institution from the LOV. | |
| Beneficiary Institution | Select the beneficiary institution from the LOV. | |
| Ultimate Beneficiary | Select the ultimate beneficiary from the LOV. | |
| Intermediary Reimbursement Institution | Select the intermediary reimbursement institution from the LOV. | |

Payment Details

Provide the Payment Details based on the description in the following table:

| Field | Description | Sample Values |
|----------------------|---|---------------|
| Sender to Receiver 1 | Provide the sender to receiver message. | |
| Sender to Receiver 2 | Provide the sender to receiver message. | |
| Sender to Receiver 3 | Provide the sender to receiver message. | |
| Sender to Receiver 4 | Provide the sender to receiver message. | |

| Field | Description | Sample Values |
|----------------------|---|---------------|
| Sender to Receiver 5 | Provide the sender to receiver message. | |
| Sender to Receiver 6 | Provide the sender to receiver message. | |

Remittance Information

Provide the Payment Details based on the description in the following table:

| Field | Description | Sample Values |
|------------------|------------------------------|---------------|
| Payment Detail 1 | Provide the payment details. | |
| Payment Detail 2 | Provide the payment details. | |
| Payment Detail 3 | Provide the payment details. | |
| Payment Detail 4 | Provide the payment details. | |

Summary

User can review the summary of details updated in Data Enrichment stage Islamic Import LC Update Drawing request. As part of summary screen, the user can see the summary tiles. The tiles should display a list of important fields with values.

Islamic Import LC Drawing Update - DataEnrichment :: Application No: PK2IILLU00007227

Summary

| Main Details | Document Details | Shipment Details | Additional Conditions | Discrepancy Details |
|---|---|---|---|---|
| Product Code : DCN8 Currency : USD Amount : 1000 | Document 1 : AIRDOC Document 2 : BOL Document 3 : INSDOC Document 4 : INVDOC Document 5 : MARDOC | Goods Code : Goods Description : | FFT Code 1 : FFT Code 2 : | Discrepancy Code 1 : Discrepancy Code 2 : |
| Payment Details | FX Linkage | Finance Preferences | Maturity Details | Limits and Collaterals |
| Immediate Liquidation: Immediate Accept : Reim Claimed : | Reference Number : Contract Amount : Contract Currency : | Loan Product : linkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity : | Tenor Type : Sight Tenor Basis : Maturity Date : 2021-05-05 | Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : USD Collateral Contr. : 880 Collateral Status : Not Verified |
| Commission,Charges and Taxes | Assignment of Proceeds | Preview Messages | Settlement | Parties Details |
| Charge : Commission : Tax : Block Status : Not Initia | Assignmentofproceeds No Assignee Name : Assigned Amount : | Language : ENG Preview Message : + | Component : LQTAX_AMT Account Number : PK20010440 Currency : GBP | Drawer : MARKS AND Presenting Bank : WELLS FARG Drawee : GOODCARE PLC |
| Compliance details | Accounting Details | Advices | Linked Finance Details | Profit Details |
| KYC : Not Initia Sanctions : Not Initia AML : Not Initia | Event : AccountNumber : Branch : | Advice 1 : PAYMENT_ME | financeAcc : financeCcy : financeAmt : | Component : Amount : Event : |

Buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next, Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Beneficiary Confirmation Details - User can view the beneficiary response details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Revolving Details - User can view the revolving details.

- Maturity Details - User can view the maturity details.
- Payment Details - User can view the payment details.
- Preview Details - User can view the preview the draft message.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- FX Linkage - User can view the details of FX Linkage.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details – User can view the accounting entries generated by back office system.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------------|---|---------------|
| Submit | Task will get moved to next logical stage of Import LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. | |
| Save & Close | Save the information provided and holds the task in you queue for working later. This option will not submit the request | |
| Cancel | Cancel the Data Enrichment stage inputs. | |
| Hold | The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant. | |
| Reject | On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process. | |

Exceptions

As per regulatory requirement, all tasks are scrutinized for KYC, Compliance and Sanctions. The checks to external system/internal system is initiated after the Data Enrichment stage.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Block Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|---------|---|---------------|
| Reject | <p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Refer | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others | |
| Cancel | <p>Cancel the Import LC Drawing Amount Block Exception check.</p> | |
| Approve | <p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p> | |
| Back | <p>Task moves to previous logical step.</p> | |

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|--------|---|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Refer | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance- Limits• R5 - Others | |
| Cancel | <p>Cancel the Import LC Drawing KYC exception check.</p> | |

| Field | Description | Sample Values |
|---------|---|---------------|
| Approve | On approve, application must validate for all mandatory field values, and task must move to the next logical stage. | |
| Back | Task moves to previous logical step. | |

Multi Level Approval

The user can view the summary of details updated in multilevel approval stage of Import LC update Drawing. Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Applicant Party
- Beneficiary Party
- Drawing Currency
- Drawing Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

The screenshot displays the 'Approval Rekey' dialog box in the FuTura Bank application. The dialog box is titled 'Approval Rekey' and contains the following fields:

- Applicant Party:** 000262 (Valid)
- Beneficiary Party:** 000263 (Valid)
- Drawing Currency:** GBP (Valid)
- Drawing Amount:** £1,200.00 (Valid)

Each field has a green checkmark next to it, indicating that the values are correct. The dialog box also has buttons for 'Proceed', 'Refer', and 'Cancel'. The background shows a 'Free Tasks' table with the following columns: Action, Priority, Application Number, Process Name, Stage, and Back Office Ref No. The table contains several rows of data, including 'Export LC Advising' tasks.

Summary

Islamic Import LC Drawing Update - Approval Task Level 1 :: Application No: PK2ILU000007227

Overrides Customer Instruction Common Group Messages Incoming Message

| Main Details | Document Details | Shipment Details | Additional Conditions | Discrepancy Details | Payment Details | | | |
|---|---|---|---|---|--|--------------|---------------|----------------|
| Product Code : DCN8 Currency : USD Amount : 1000 | Document 1 : AIRDOC Document 2 : BOL Document 3 : INSDOC Document 4 : INVDOC Document 5 : MARDOC | Goods Code : Goods Description : | FFT Code 1 : FFT Code 2 : | Discrepancy Code 1 : Discrepancy Code 2 : | Immediate Liquidation: Immediate Accept : Reim Claimed : | | | |
| FX Linkage | Finance Preferences | Maturity Details | Limits and Collaterals | Commission,Charges and Taxes | Assignment of Proceeds | | | |
| Reference Number : Contract Amount : Contract Currency : | Loan Product : linkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity : | Tenor Type : Sight Tenor Basis : Maturity Date : 2021-05-05 | Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : USD Collateral Contr. : 880 Collateral Status : Not Verified | Charge : Commission : Tax : Block Status : Not Initia | Assignmentofproceeds No Assignee Name : Assigned Amount : | | | |
| Preview Messages | Settlement | Linked Finance Details | Parties Details | Compliance details | Accounting Details | | | |
| Language : ENG Preview Message : - | Component : LQTAX_AMT Account Number : PK20010440 Currency : GBP | financeAcc : financeCcy : financeAmt : | Drawer : MARKS AND Presenting Bank : WELLS FARG Drawee : GOODCARE PLC | KYC : Verified Sanctions : Verified AML : Verified | Event : AccountNumber : Branch : | | | |
| Advices | Profit Details | Profit Details | Exception(Approval) | | | | | |
| Advice 1 : PAYMENT_ME | Component : Amount : Event : | Component : Amount : Event : | EXCEPTION : Nil | | | | | |
| Audit | | | | Reject | Hold | Refer | Cancel | Approve |

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Shipment Details - User can view shipment details.
- Additional Conditions - User can view additional conditions of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Revolving Details - User can view revolving details on revolving LC.
- Payment Details - User can view payment details.
- Settlement Details - User can view settlement details.
- Preferences - User can view the loan preferences.
- FX Linkage - User can view the FX linkage details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|---------|---|---------------|
| Reject | <p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p> | |
| Hold | <p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p> | |
| Refer | <p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others | |
| Cancel | Cancel the approval. | |
| Approve | <p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p> | |

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import LC Update Drawing in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Application Details

The application details data segment have values for requests received from both non-online and online channels.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and LC details.
- Drawing Details - User can view the drawing details.
- Documents Under LC - User can view the documents received under the LC for this drawing, if required.
- Shipment - User can view shipment details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- FX Linkage - User can view the details of FX Linkage.

Action Buttons

Use action buttons based on the description in the following table:

| Field | Description | Sample Values |
|----------------|---|---------------|
| Reject Approve | On click of Reject Approve, the transaction is rejected. | |
| Reject Decline | On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks. | |
| Hold | User can put the transaction on 'Hold'. Task will remain in Pending state. | |
| Cancel | Cancel the Reject Approval. | |

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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